

FORM PTO-1350 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 966927.00048 U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR To Be Assigned 10/517052
INTERNATIONAL APPLICATION NO. PCT/EP03/05780	INTERNATIONAL FILING DATE June 3, 2003	PRIORITY DATE CLAIMED June 4, 2002
TITLE OF INVENTION ALLERGEN FROM MUGWORT POLLEN		
APPLICANT(S) FOR DO/EO/US FERREIRA, Fatima; HUBINGER, Gudrun; EBNER, Christof; and RICHTER, Klaus		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). <p style="margin-left: 20px;">Items 13 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input type="checkbox"/> Express Mail Label No. 23. <input checked="" type="checkbox"/> Other items or information: <div style="margin-left: 40px;"> 1) Copy of PCT Publication No. WO 03/102189; 2) Copy of the Chapter II Demand; 3) Copy of PCT Request; 4) Statement to Support Filing and Submission in Accordance with 37 C.F.R. 1.821-1.825; 5) Copy of Notification of the Recording of a Change; and 6) Two return postcards. </div> 		

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) To Be Assigned 10/517052	INTERNATIONAL APPLICATION NO. PCT/EP03/05780	ATTORNEY'S DOCKET NUMBER 966927.00048
--	--	---

24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :				CALCULATIONS PTO USE ONLY	
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	37 - 20 =	17	x \$18.00	\$306.00	
Independent claims	2 - 3 =	0	x \$86.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,226.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$613.00	
SUBTOTAL =				\$613.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
TOTAL NATIONAL FEE =				\$613.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$613.00	
				Amount to be: refunded	\$
				charged	\$

- a. ☒ A check in the amount of **\$613.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
- c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-0622**
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

REED SMITH, LLP
1301 K Street, N.W.
Suite 1100 - East Tower
Washington, DC 20005

Toni Junell Herbert
SIGNATURE
Toni-Junell Herbert
NAME
34,348
REGISTRATION NUMBER
Dec. 3, 2004
DATE

The PTO did not receive the following listed item(s): *Check for \$610.00*

g 4

DT03 Rec'd PCT/PTO: 03 DEC 2004

Applicant: FERREIRA et al.
Application No: To Be Assigned **10/517052** Docket No.: 966927.00048
Filed: Herewith Attorney: Toni-Junell Herbert/TJY/cg
Title: Allergen from Mugwort Pollen

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 USC 371;
2. Preliminary Amendment (7 pages – Claims 1-37);
3. Statement to Support Filing and Submission in Accordance with 37 CFR §§ 1.821-1.825;
4. Sequence listing in paper form (5 pages) and in electronic form on diskette;
5. Information Disclosure Statement (3 pages);
6. Form PTO-1449 (1 page) with copies of six (6) cited references (Refs. AL1-AT1);
7. Copy of International Application as Published, Publication No. WO 03/102189;
8. Copy of Notification of the Recording of a Change (Form PCT/IB/306);
9. Copy of International Search Report;
10. Copy of PCT Request Form;
11. Copy of PCT Chapter II Demand;
12. Copy of International Preliminary Examination Report;
13. Check in the amount of \$649 (small entity filing fee); and
14. ~~Two (2)~~ return postcards 6 10 9

one (1)